

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

<http://lobbyingdisclosure.house.gov>

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

<http://www.senate.gov/lobby>

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

J.P. MORGAN CHASE BANK, N.A.

2. Address ☐ Check if different than previously reported

Address1 **270 PARK AVENUE, 29th FLOOR** Address2
City **NEW YORK** State **NY** Zip Code **10017** - Country **USA**

3. Principal place of business (if different than line 2)

City State Zip Code - Country

4a. Contact Name

b. Telephone Number

c. E-mail

5. Senate ID#

PIERCE SCRANTON

☐ International Number

(202) 585-3759

perce.e.scranton@jpmchase.com

400280349-12

7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality

J.P. MORGAN CHASE BANK, N.A.

6. House ID#

401250000

TYPE OF REPORT

8. Year **2012** Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☒ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☐ \$

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **\$1,540,000.00**

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only
- ☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
- ☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Filed Electronically

Date **07/20/2012**

Printed Name and Title **Mr. Pierce Scranton, Executive Director**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

BAN

BANKING

(one per page)

16. Specific lobbying issues

HR 4367 - discussed ATM disclosures; S 489, HR 940, S 170, S 1835, HR 3644 - discussed home mortgage loan modifications, foreclosures, servicing, and refinancing; S 1963, S 3085, S 3047, S 2909 - discussed the implications of GSE reform and other proposed changes to the housing finance market; HR 1309, S 1940 - discussed flood insurance.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Federal Reserve System, Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Brendan	Reilly			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Nat	Wienecke			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Mr. Pierce Scranton, Executive Director

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

CSP

CONSUMER ISSUES/SAFETY/PRODUCTS

(one per page)

16. Specific lobbying issues

Discussed proposals to amend the Telephone Consumer Protection Act; Discussed issues related to interchange fees.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Hilary	West			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Steven	Patterson			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Mr. Pierce Scranton, Executive Director

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

HR 1838, HR 2586, HR 2779, HR 3283, HR 4014, S 2223, HR 2682, S 2099 - discussed implementation of and amendments to the Dodd Frank Act; S 2222, HR 4457 - discussed dynamics in commodities markets; SEC reform; proposals related to the regulation of the mutual fund industry and money market funds; rulemakings on the fiduciary standard for broker-dealers and investment advisers, asset-liability management issues, and the potential impact of new capital requirements.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Commodity Futures Trading Commission (CFTC), Federal Deposit Insurance Commission (FDIC), Federal Reserve System, Office of the Comptroller of the Currency (OCC), Securities & Exchange Commission (SEC), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Naomi	Camper			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Nat	Wienecke			<input type="checkbox"/>
Nathan	Gatten			<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

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15. General issue area code

HOM

HOMELAND SECURITY

(one per page)

16. Specific lobbying issues

S 1207, S 1434, S 1151, S 413, S 968, HR 1707, HR 1841, HR 2577, HR 3523 - discussed proposals related to cyber security and data breach; discussed PROTECT IP.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Nat	Wienecke			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

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15. General issue area code

RET

RETIREMENT

(one per page)

16. Specific lobbying issues

HR 4050, HR 4624 - Discussed the impact of proposed changes to the provision of investment advice, fee disclosure, an index fund mandate, and target date funds.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Thomas	Koonce			<input type="checkbox"/>
Katherine	Childress			<input type="checkbox"/>
Pierce	Scranton			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Mr. Pierce Scranton, Executive Director

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15. General issue area code

SMB

SMALL BUSINESS

(one per page)

16. Specific lobbying issues

Discussed Small Business lending issues.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Thomas	Koonce			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Steve	Patterson			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Mr. Pierce Scranton, Executive Director

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

TAX

TAXATION/INTERNAL REVENUE CODE

(one per page)

16. Specific lobbying issues

HR 749, HR 2655, S 996 - discussed proposals to extend the active finance exception to Subpart F and New Markets Tax Credit; discussed the issue of corporate tax reform.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Pierce	Scranton			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

TRD

TRADE (DOMESTIC/FOREIGN)

(one per page)

16. Specific lobbying issues

S 1547, HR 2072 - discussed issues related to Export-Import Bank; issues related to granting Permanent Normal Trade Relations to Russia; issues of cross-border market access.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, U.S. Trade Representative (USTR), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Jack	Bartling			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

VET

VETERANS

(one per page)

16. Specific lobbying issues

HR 5747, HR 1263, S 3179, S 2299 - discussed Veterans hiring and compliance with the Servicemembers Civil Relief Act.

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Defense - Dept of (DOD)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Steve	Patterson			<input type="checkbox"/>
Nat	Wienecke			<input type="checkbox"/>
Hilary	West			<input type="checkbox"/>
Thomas	Koonce			<input type="checkbox"/>
Brendan	Reilly			<input type="checkbox"/>
Todd	Williamson			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title

Mr. Pierce Scranton, Executive Director

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City

State

Zip Code

-

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

-

Country

22. New General description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

	First Name	Last Name	Suffix		First Name	Last Name	Suffix
1	Naomi	Camper		3	Granville	Martin	
2	Katherine	Childress		4			

ISSUE UPDATE

24. General lobbying issue that no longer pertains

CPT

ENG

LAW

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address	Principal Place of Business (city and state or country)
	<div>Street Address</div> <div>CityState/ProvinceZipCountry</div>	<div>City</div> <div>StateCountry</div> <div>City</div> <div>StateCountry</div>

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
	<div>Street Address</div> <div>CityState/ProvinceCountry</div>	<div>City</div> <div>StateCountry</div>		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1

3

5

2

4

6